



REVIEW GUIDANCE FOR ACCOUNT ASSIGNMENT

Use this guidance to confirm the Account Assignment identifies the relevant account and assignment mechanics clearly.

Review focus

- Confirm the assigned account is unambiguous.
- Confirm the assigned party and role are correct.
- Confirm scope, exclusions, and effective dates.
- Confirm relationship to any account leadership role assignment.
- Confirm assignment changes require written approval.

Value Realization lens

The Account Assignment supports Value Realization by making account-related rights, responsibilities, and value claims traceable to a written instrument.